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*[Signature]*

OSMM&N File No. 213591US2SRDPCT

Dept.: PP

By: MJS/smc

Serial No. 09/914,787

In the matter of the Application of: Takeshi NAGAI, et al.

For: METHOD AND APPARATUS FOR CODING MOVING PICTURE IMAGE

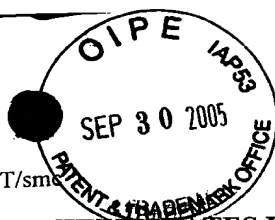
The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification & Claims/Drawings Sheets
- ☐ Combined Declaration, Petition & Power of Attorney pages
- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO-1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter
- ☐ Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449
- ☒ Cited References ( 15 )
- ☒ INTERNATIONAL Search Report
- ☐ Statement of Relevancy
- ☐ English Abstracts, Concise Explanation, English Translation, Partial English Translation ( )
- ☒ IDS/Related/List of Related Cases ☐ Cited Pending Applications ( )
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advanced Serial Number Card



Due Date: 12/12/01

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Docket No. 213591US2SRDPCT/sme

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takeshi NAGAI, et al.

GAU: 2614

SERIAL NO: 09/914,787

EXAMINER:

FILED: September 5, 2001

FOR: METHOD AND APPARATUS FOR CODING MOVING PICTURE IMAGE

### INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- ☒ The applicant(s) wish to make of record the references, some of which are cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the reference(s) have been received by the U.S. PCT Receiving Office from the International Bureau as acknowledged in the Notification of Acceptance.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak  
Registration No.

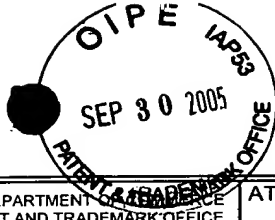
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SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 213591US2SRDPCT		SERIAL NO. 09/914,787	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takeshi NAGAI, et al.			
				FILING DATE September 5, 2001		GROUP 2614	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,867,231	02/02/99	Toru WADA, et al.			
	AB	6,310,897	10/30/2001	Toshiaki WATANABE, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AJ	2 327 313	01/20/99	GREAT BRITAIN			
	AK	9-271041	10/14/97	JAPAN			
	AL	0 731 422	09/11/96	EUROPE			
	AM	0 677 961	10/18/95	EUROPE			
	AN	6-326967	11/25/94	JAPAN			
	AO	6-311052	11/04/94	JAPAN			
	AP	11-41108	02/12/99	JAPAN			
	AQ	0 737 975	10/16/96	EUROPE			
	AR	10-336746	12/18/98	JAPAN			
	AS	64-13838	01/18/89	JAPAN			
	AT	11-88881	03/30/99	JAPAN			
	AU	11-313324	11/09/99	JAPAN			
	AV	2000-13790	01/14/2000	JAPAN			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ						
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							